



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of  
Hisao Hayashi

**RECEIVED**

OCT 29 2003

Serial No.: 09/492,521

Group Art Unit: 2624

Technology Center 2600

Filing Date: January 27, 2000

Examiner: Negussie Worku

For: COMPACT IMAGE SCANNER CAPABLE OF READING BOTH A LIGHT-  
REFLECTING ARTICLE AND A LIGHT-TRANSMITTING ARTICLE

Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

Sir:

This paper is in response to a Notice of Non-Compliant Amendment dated October 14, 2003 (copy attached). In particular, the Notice of Non-Compliant Amendment states that Amendment filed on August 28, 2003 includes incorrect status identifiers. This paper attaches an Amendment which includes corrected status identifiers.

The Commissioner is hereby authorized to charge any deficiency in fees or credit any overpayment in fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

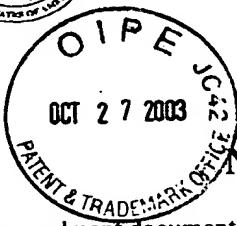
  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20233  
[www.uspto.gov](http://www.uspto.gov)



Paper No.

## Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 8/28/03 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on June 30, 2003 (see 68 Fed. Reg. 38611, Jun. 30, 2003). In order for the amendment document to be compliant, correction of the following omission(s) or provision is required. Only the section (1.121(h)) of the amendment document containing the omission or non-compliant provision must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted.

THE FOLLOWING CHECKED (X) ELEMENTS(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

1. Amendments to the specification:  
 A. Amended paragraph(s) do not include markings.  
 B. New paragraph(s) should not be underlined.  
 C. Other \_\_\_\_\_
2. Abstract:  
 A. Not presented on a separate sheet. 37 CFR 1.72.  
 B. Other \_\_\_\_\_
3. Amendments to the drawings: \_\_\_\_\_
4. Amendments to the claims:  
 A. A complete listing of all of the claims is not present.  
 B. The listing of claims does not include the text of all claims (incl. withdrawn claims)  
 C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified.  
 D. The claims of this amendment paper have not been presented in ascending numerical order.  
 E. Other: Claims 2, 7, 8, 13, 19, 21, 22 and 23 have incorrect Status Identifiers.

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For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preonnotice/officeflyer.pdf>.

If the non-compliant amendment is a PRELIMINARY AMENDMENT, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

If the non-compliant amendment is a reply to a NON-FINAL OFFICE ACTION, and since the amendment appears to be a bona fide attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).

If the amendment is a reply to a FINAL REJECTION, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

*Connie Acre*  
Legal Instruments Examiner (LIE)

July 22, 2003 (rev.)